



The Regulation and
Quality Improvement
Authority

Failure to Comply Notice Announced Compliance Inspection

Name of Establishment: P D McGuigan Dental Surgery
Establishment ID No: 11658
Date of Inspection: 22 July 2014
Inspectors' Names: Lynn Long and Elaine Connolly
Inspection No: 20136

**The Regulation and Quality Improvement Authority
9th floor Riverside Tower, 5 Lanyon Place, Belfast, BT1 3BT
Tel: 028 9051 7500 Fax: 028 9051 7501**

1.0 General Information

Name of establishment:	P D McGuigan Dental Surgery
Address:	Unit 5 Legahory Centre Brownlow Craigavon BT65 5BE
Telephone number:	028 3832 2080
Registered organisation/ registered provider:	Mr Damian McGuigan
Registered manager:	Mrs Deirdra-Ann McConville
Person in charge of the establishment at the time of Inspection:	Mr Damian McGuigan
Registration category:	IH-DT
Type of service provision:	Private dental treatment
Maximum number of places registered: (dental chairs)	1
Date and type of previous inspection:	Announced Inspection 11 February 2014
Date and time of inspection:	22 July 2014 13.00-14.00
Name of inspectors:	Lynn Long Elaine Connolly

2.0 Introduction

The Regulation and Quality Improvement Authority (RQIA) is empowered under The Health and Personal Social Services (Quality, Improvement and Regulation) (Northern Ireland) Order 2003 to inspect dental practices providing private dental care and treatment. A minimum of one inspection per year is required. The service is also inspected to determine compliance with the requirements of the Independent Health Care Regulations (Northern Ireland) 2005 and the Minimum Standards for Dental Care and Treatment March 2005.

This is a report of the announced inspection to assess the compliance against a failure to comply notice. The report details the extent to which the standards measured during inspection were met.

3.0 Purpose of the Inspection

The purpose of the inspection was to ascertain the progress made to address the actions outlined in the Failure to Comply Notice issued on 22 May 2014.

The breach of legislation identified in the Failure to Comply Notice was as follows:

The Independent Health Care Regulations (Northern Ireland) 2005

Regulation 15(3) – Where reusable medical devices are used in an establishment or agency, the registered person shall ensure that appropriate procedures are implemented in relation to cleaning, disinfection, inspection, packaging, sterilisation, transportation and storage of such devices.

4.0 Inspection Focus

An announced follow-up inspection was undertaken to P D McGuigan Dental Surgery on 13 May 2014 as it had been identified during the inspection on 11 February 2014 that satisfactory progress towards compliance with the decontamination of reusable dental instruments, as outlined in HTM 01-05 and the PEL 12(23) had not been made. Subsequent to this a Failure to Comply Notice was issued on 22 May 2014 in this regard.

This inspection was undertaken to establish the progress made towards compliance with the Failure to Comply Notice.

5.0 Methods/Process

- Review of the actions taken to comply with the failure to comply notice;
- Discussion with Mr McGuigan, registered provider;
- Discussion with Ms McConville, registered manager;
- Examination of relevant records;
- Consultation with relevant staff;
- Tour of the premises; and
- Evaluation and feedback.

Any other information received by RQIA about this practice has also been considered by the inspector in preparing for this inspection.

6.0 DHSSPS Policy Position and Northern Ireland Amendment

Dental practices in Northern Ireland were directed by the DHSSPS, that best practice recommendations in the Health Technical Memorandum (HTM) 01-05, decontamination in primary care dental practices, along with Northern Ireland amendments as noted in the Professional Estates Letter (PEL) (10) 04, should be fully implemented by **November 2012**. PEL (10) 04 was replaced by PEL (12) 23 on 21 December 2012. HTM 01-05 was updated in 2013 and this was forwarded to Primary Care Dental Practices through the issue of Professional Estates Letter (PEL) (13) 13 on 01 October 2013. The PEL (13) 13 advised General Dental Practitioners of the publication of the 2013 version of HTM 01-05 and the specific policy amendments to the guidance that apply in Northern Ireland.

7.0 Summary

An announced follow-up inspection was undertaken to P D McGuigan Dental Surgery on 13 May 2014, as it had been identified during the previous inspection on 11 February 2014 that satisfactory progress towards compliance with the decontamination of reusable dental instruments, as outlined in HTM 01-05 and the PEL (13) 13 had not been made. Subsequent to this a Failure to Comply Notice was issued to Mr Peter D McGuigan, registered provider in respect of P D McGuigan Dental Surgery on 22 May 2014.

The inspection was undertaken by Lynn Long and Elaine Connolly on 22 July 2014 between the hours of 13.00 and 14.00. Mr McGuigan, registered provider and Ms McConville, registered manager were available during the inspection and for verbal feedback at the conclusion of the inspection.

The breach of legislation identified in the Failure to Comply Notice was as follows:

The Independent Health Care Regulations (Northern Ireland) 2005

Regulation 15(3) – Where reusable medical devices are used in an establishment or agency, the registered person shall ensure that appropriate procedures are implemented in relation to cleaning, disinfection, inspection, packaging, sterilisation, transportation and storage of such devices.

The practice has a written agreement in place which confirmed that all reusable dental instruments are being collected, processed and returned to the practice using the Central Services Sterilisation Department (CSSD) at the Ulster Hospital, Dundonald.

A review of the arrangements in place and discussion with Mr McGuigan and Ms McConville demonstrated that significant progress had been made to ensure that both new and reusable dental instruments are appropriately cleaned, sterilised and stored following use in keeping with best practice as outlined in HTM 01-05. Systems are in place for the safe transportation of instruments between the dental practice and the agreed collection and delivery point at Lagan Valley Hospital.

Three sets of instruments are currently in use. In discussion, Ms McConville confirmed that the plan is to have four sets of instruments available to ensure that in case of disruption in the service that patient care should not be compromised.

Records pertaining to the decontamination of the dental instruments are retained at the practice and Miss McConville confirmed that she is aware of the need to retain these records for at least two years.

Review of documentation, discussion with Mr McGuigan and Ms McConville and observations made during the inspection evidenced that the necessary actions have been taken to comply with the Failure to Comply Notice.

Mr McGuigan was issued with a letter of confirmation of compliance following the inspection.

The inspectors would like to thank Mr McGuigan, Ms McConville and staff for their helpful discussions and co-operation throughout the inspection process.

8.0 Inspection Findings of Action Required to Comply with Regulations:

A dedicated decontamination room must be completed, fully equipped and operational to ensure that all reusable dental instruments are appropriately cleaned, sterilised and stored following use in keeping with best practice as outlined in HTM 01-05.

Or

Alternative arrangements with the Central Services Sterilisation Department (CSSD) must be established. The relevant systems and processes must be in place to ensure that all reusable dental instruments are appropriately cleaned, sterilised and stored following use in keeping with best practice as outlined in HTM 01-05. Records to confirm the formal arrangements in place must be retained and available at the practice.

Establishment of a dedicated decontamination room is no longer necessary at this practice. Mr McGuigan took the decision to outsource the decontamination of reusable dental instruments. All reusable dental instruments are being collected, processed and returned to the practice using the Central Services Sterilisation Department (CSSD) at the Ulster Hospital, Dundonald.

A review of the process and discussion with Mr McGuigan and staff confirmed that the reusable dental instruments are appropriately cleaned, sterilised and stored following use in keeping with best practice as outlined in HTM 01-05. Systems are in place for the safe transportation of instruments between the dental practice and the agreed collection and delivery point at Lagan Valley Hospital.

Three sets of instruments are currently in use. In discussion, Ms McConville confirmed that it is the intention to have a fourth set of instruments available in case of disruption in the service that patient care should not be compromised.

Records pertaining to the decontamination of the dental instruments are retained at the practice and Miss McConville confirmed that she is aware of the need to retain these records for at least two years.

This requirement has been addressed and compliance achieved.

8.0 Conclusion

Review of documentation, discussion with Mr McGuigan, registered provider and Ms McConville, registered manager and observations made during the inspection evidenced that the necessary actions have been taken to comply with the Failure to Comply Notice. Mr McGuigan was issued with a letter of confirmation of compliance following the inspection.

9.0 Quality Improvement Plan

Matters to be addressed as a result of this inspection are set in the context of the current registration of your premises. The registration is not transferable so that in the event of any future application to alter, extend or to sell the premises the RQIA would apply standards current at the time of that application.

Enquiries relating to this report should be addressed to:

Regulation and Quality Improvement Authority
9th Floor
Riverside Tower
5 Lanyon Place
BELFAST
BT1 3BT

Lynn Long
Inspector

Date

Elaine Connolly
Senior Inspector

Date



The Regulation and Quality Improvement Authority

No requirements/recommendations resulted from the announced inspection of P D McGuigan Dental Surgery undertaken on 22 July 2014 and I agree/~~do not agree~~* with the content of the report.

* Please delete as appropriate

Please provide any additional comments or observations you may wish to make:

SIGNED: *Peter McGuigan*
Registered Provider

SIGNED: *D.A. McLaughlin*
Registered Person in Control
(or Designated Person in Control)

NAME: Peter Daniel McGuigan
(PRINT)

NAME: Deirdra Ann McCann
(PRINT)

DATE: 26/7/14

DATE: 26/7/14

The registered provider/manager is required to sign this declaration and return to:

The Regulation and Quality Improvement Authority
9th floor
Riverside Tower
5 Lanyon Place
Belfast
BT1 3BT

DATE RECEIVED	APPROVED	SIGNATURE OF INSPECTOR
8/9/14.	Yes.	<i>Kevin Long</i>

